



PATENT

10 608 807

Case Docket No. AMERGN.16CCPC1  
Date: September 25, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lon E. Bell  
Appl. No. : Unknown  
Filed : June 27, 2003  
For : THERMOELECTRIC HEAT  
EXCHANGER  
Examiner : Unknown  
Group Art Unit : Unknown

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
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an envelope addressed to: Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-  
1450, on

September 25, 2003

(Date)

*Mark J. Kertz*  
Mark J. Kertz, Reg. No. 43,711

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with 24 references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

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## INFORMATION DISCLOSURE STATEMENT

Applicant	:	Lon E. Bell
App. No.	:	Unknown 10608 807
Filed	:	June 27, 2003
For	:	THERMOELECTRIC HEAT EXCHANGER
Examiner	:	Unknown
Group Art Unit	:	Unknown

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 24 references. These references were cited in Patent Application No. 09/076,518 (attorney docket No. AMERGN.016A) filed May 12, 1998, Patent Application No. 09/428,018 (attorney docket No. AMERGN.016C1) filed October 27, 1999, and/or Patent Application No. 09/971,539 (attorney docket No. AMERGN.16C2CP1) filed October 2, 2001, to which priority is claimed under 35 U.S.C. § 120. Also cited are the three patents issued from the above-mentioned applications. Therefore, under 37 C.F.R. § 1.98(d) no copies of these references need to be submitted.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Sep. 25, 2003

By: Mark Kertz  
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT          BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. AMERGN.16CCPC1	APPLICATION NO. Unknown
	APPLICANT Lon E. Bell	
	FILING DATE June 27, 2003	GROUP Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	2,362,259	11/7/44	Findley			
	2	2,363,168	11/21/44	Findley			
	3	2,519,241	8/15/50	Findley			
	4	2,984,077	5/16/61	Gaskill			
	5	3,019,609	2/6/62	Pietsch			
	6	3,085,405	4/16/63	E. W. Franti			
	7	3,137,142	6/1964	Venema			
	8	3,197,342	7/1965	Neild			
	9	3,212,275	10/1965	Tillman			
	10	3,599,437	3/3/70	Joseph F. Panas			
	11	4,665,707	5/19/87	Hamilton			
	12	5,419,780	5/30/95	Suski			
	13	5,448,891	9/1995	Nakagiri et al.			
	14	5,544,487	8/1996	Attey et al.			
	15	5,561,981	10/1996	Quisenberry et al.			
	16	5,822,993	10/1998	Attey, Graeme Scott			
	17	6,119,463	9/19/00	Bell			
	18	6,213,198	4/2001	Shikata et al.			
	19	6,223,539 B1	5/1/01	Bell			
	20	6,606,866 B2	8/19/03	Bell			

## FOREIGN PATENT DOCUMENTS


EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	21	WO 95/14899	06/01/95	PCT				
	22	WO 95/31688	11/23/95	PCT				
	23	EP 0 834 421 A1	04/08/98	Europe				
	24	LU 66619	02/08/73					

EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

<b>FORM PTO-1449</b> <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)	<b>ATTY. DOCKET NO.</b> AMERGN.16CCPC1	<b>APPLICATION NO.</b> Unknown
	<b>APPLICANT</b> Lon E. Bell	
	<b>FILING DATE</b> June 27, 2003	<b>GROUP</b> Unknown



<b>EXAMINER INITIAL</b>	<b>OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)</b>

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<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
<b>*EXAMINER:</b> INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	